



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent:

6,970,982

Date of Issue:

November 29, 2005

Inventor(s):

Altman et al.

Title of Invention:

METHOD AND SYSTEM FOR MAINTAINING COHERENCY IN A  
MULTIPROCESSOR SYSTEM BY BROADCASTING TLB  
INVALIDATED ENTRY INSTRUCTIONS

Attn: Supervisory Patent Examiner, Unit 2189

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**PETITION TO CORRECT INVENTORSHIP OF A PATENT**  
(37 C.F.R. § 1.324)

1. This is a petition for correction of the erroneous nonjoinder of an inventor on the above-referenced issued patent. The error in failing to name Martin E. Hopkins as an inventor on the patent occurred without any deceptive intention on his part. Petitioner respectfully requests that the Commissioner issue a certificate correcting the error. Petitioner has attached duplicate copies of a proposed Certificate of Correction form PTO-1050.

**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 7-13, 2006.

Signature

Toni Stanley

(Printed name of person certifying)

07/18/2006 SFELEKE1 00000012 232426 6970982

01 FC:1464

130.00 DA

2. Enclosed herewith is (37 C.F.R. § 1.324(b)):

- (A) a statement, from the person who is being added as an inventor, that the inventorship error occurred without any deceptive intention on his part; and
- (B) a statement, from the current named inventors who have not submitted a statement under 2(A) above, stating that they have no disagreement in regard to the requested change.

3. Also enclosed is a statement, from the assignee of the parties submitting a statement under 2(A) and 2(B) above, agreeing to the change of inventors on the patent, and a statement under 37 C.F.R. § 3.73(b) establishing the right of the assignee to take action in this matter.

4. A check for the required fee of \$50.00 (37 C.F.R. § 1.20(b)) is enclosed.

If the Commissioner determines that a fee is still necessary, the Commissioner is hereby authorized to charge any additional fee or credit any overpayment to Deposit Account No. 23-2426 (7047-P406D1) in the name of Winstead Sechrest & Minick P.C. **A duplicate copy of this Petition for Correction of Inventorship of Patent is enclosed.**

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

By: \_\_\_\_\_

  
Kelly K. Kordzik  
Reg. No. 36,571

P.O. Box 50784  
Dallas, Texas 75201-0784  
Tel: (512) 370-2851  
Fax: (214) 745-5390

The PTO did not receive the following  
listed item(s): A check for  
\$50.00

848261v.1 7047/P406D1



## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 28

Application Number	Patent No.: 6,970,982
Filing Date	Issue Date: 11/29/2005
First Named Inventor	Erik Altman
Art Unit	2189
Examiner Name	Behzad Peikari
Attorney Docket Number	AUS920000796US2

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition to Correct Inventorship of Patent <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Consent of Assignee to Change Inventorship; Statement of Non-Deceptive Intent; 8 Statements in Support of Petition Correct Inventor; Return Postcard
<div>Remarks</div> <p>Also attached: Certificate of Correction (in duplicate); Copy of Assignment; Statement Under 37 CFR 3.73(b); Letter of Authority</p>		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Winstead Septhrest & Minick P.C.		
Signature			
Printed name	Kelly K. Kordzik		
Date	7/13/06	Reg. No.	36,571

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Toni Stanley	Date	7-13-06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 6,970,982

DATED : November 29, 2005

INVENTOR(S) : Altman et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the front page of the patent, after the last name under "(75) Inventors:", please add  
— Martin E. Hopkins, Bronxville, NY (US) —

## MAILING ADDRESS OF SENDER:

Kelly K. Kordzik  
Winstead Sechrest & Minick P.C.  
P.O. Box 50784  
Dallas, TX 75201

PATENT NO. 6,970,982

No. of additional copies



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

Patent: 6,970,982

Date of Issue: November 29, 2005

Inventor(s): Altman et al.

Title: METHOD AND SYSTEM FOR MAINTAINING COHERENCY IN A  
MULTIPROCESSOR SYSTEM BY BROADCASTING TLB  
INVALIDATED ENTRY INSTRUCTIONS

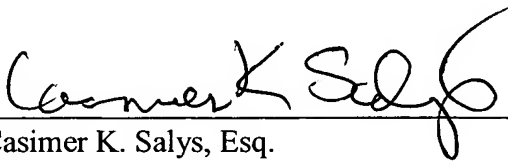
Attn: Supervisory Patent Examiner, Unit 2189  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CONSENT OF ASSIGNEE TO CHANGE INVENTORSHIP OF A PATENT**  
**(37 C. F. R. § 1.324(b)(1))**

International Business Machines Corporation, New Orchard Road, Armonk NY 10504, owner by assignment of the above-referenced issued patent, hereby agrees to the change of inventorship in the patent as requested in the accompanying papers.

Attached is a "Statement Under 37 C. F. R. § 3.73(b)" establishing the right of the assignee to take action in this matter.

6/12/06  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Casimer K. Salys, Esq.  
In-House Counsel  
International Business Machines



## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

Patent: 6,970,982

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Attn: Supervisory Patent Examiner, Unit 2189  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT OF NON-DECEPTIVE INTENT BY MARTIN E. HOPKINS BEING  
ADDED BY PETITION TO CHANGE INVENTORSHIP OF A PATENT  
(37 C. F. R. § 1.324(b)(1))**

I, Martin E. Hopkins, who is being added as an inventor by the petition being submitted to correct the inventorship of this patent, do hereby declare that the inventorship error, in failing to include my name as an inventor on this patent, occurred without any deceptive intention on my part.

5/27/2006  
Date

Martin E Hopkins  
Martin E. Hopkins



## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

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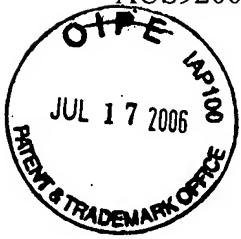
Attn: Supervisory Patent Examiner, Unit 2189  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT OF PETER G. CAPEK IN SUPPORT OF PETITION TO CORRECT  
INVENTORSHIP OF A PATENT (37 C. F. R. § 1.324)**

I, Peter G. Capek, a current inventor on the above-referenced issued patent, understand that the assignee of the patent, International Business Machines Corporation, is filing a petition with the United States Patent and Trademark Office to correct inventorship of the patent to add Martin E. Hopkins as an inventor on the patent. I have no disagreement in regard to the requested change.

5/29/06  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Peter G. Capek



## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

Patent: 6,970,982  
Date of Issue: November 29, 2005  
Inventor(s): Altman et al.  
Title: METHOD AND SYSTEM FOR MAINTAINING COHERENCY IN A  
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INVALIDATED ENTRY INSTRUCTIONS

Attn: Supervisory Patent Examiner, Unit 2189  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT OF HARM PETER HOFSTEE IN SUPPORT OF PETITION TO  
CORRECT INVENTORSHIP OF A PATENT (37 C. F. R. § 1.324)**

I, Harm Peter Hofstee, a current inventor on the above-referenced issued patent, understand that the assignee of the patent, International Business Machines Corporation, is filing a petition with the United States Patent and Trademark Office to correct inventorship of the patent to add Martin E. Hopkins as an inventor on the patent. I have no disagreement in regard to the requested change.

May 30, 2006  
Date

Harm Peter Hofstee  
Harm Peter Hofstee





## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

Patent: 6,970,982

Date of Issue: November 29, 2005

Inventor(s): Altman et al.


Title: METHOD AND SYSTEM FOR MAINTAINING COHERENCY IN A  
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Attn: Supervisory Patent Examiner, Unit 2189  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT OF MICHAEL KARL GSCHWIND IN SUPPORT OF PETITION TO  
CORRECT INVENTORSHIP OF A PATENT (37 C. F. R. § 1.324)**

I, Michael Karl Gschwind, a current inventor on the above-referenced issued patent, understand that the assignee of the patent, International Business Machines Corporation, is filing a petition with the United States Patent and Trademark Office to correct inventorship of the patent to add Martin E. Hopkins as an inventor on the patent. I have no disagreement in regard to the requested change.

5/22/ 06  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Michael Karl Gschwind



## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

Patent: 6,970,982

Date of Issue: November 29, 2005

Inventor(s): Altman et al.

Title: METHOD AND SYSTEM FOR MAINTAINING COHERENCY IN A  
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Attn: Supervisory Patent Examiner, Unit 2189  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT OF JOHN-DAVID WELLMAN IN SUPPORT OF PETITION TO  
CORRECT INVENTORSHIP OF A PATENT (37 C. F. R. § 1.324)**

I, John-David Wellman, a current inventor on the above-referenced issued patent, understand that the assignee of the patent, International Business Machines Corporation, is filing a petition with the United States Patent and Trademark Office to correct inventorship of the patent to add Martin E. Hopkins as an inventor on the patent. I have no disagreement in regard to the requested change.

May 16, 2006  
Date

John-David Wellman  
John-David Wellman



## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

Patent: 6,970,982

Date of Issue: November 29, 2005

Inventor(s): Altman et al.

Title: METHOD AND SYSTEM FOR MAINTAINING COHERENCY IN A  
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Attn: Supervisory Patent Examiner, Unit 2189  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT OF RAVI NAIR IN SUPPORT OF PETITION TO CORRECT  
INVENTORSHIP OF A PATENT (37 C. F. R. § 1.324)**

I, Ravi Nair, a current inventor on the above-referenced issued patent, understand that the assignee of the patent, International Business Machines Corporation, is filing a petition with the United States Patent and Trademark Office to correct inventorship of the patent to add Martin E. Hopkins as an inventor on the patent. I have no disagreement in regard to the requested change.

May 22, 2006  
Date

Ravi Nair  
Ravi Nair



## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

Patent: 6,970,982

Date of Issue: November 29, 2005

Inventor(s): Altman et al.

Title: METHOD AND SYSTEM FOR MAINTAINING COHERENCY IN A  
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INVALIDATED ENTRY INSTRUCTIONS

Attn: Supervisory Patent Examiner, Unit 2189  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT OF JAMES ALLAN KAHLE IN SUPPORT OF PETITION TO  
CORRECT INVENTORSHIP OF A PATENT (37 C. F. R. § 1.324)**

I, James Allan Kahle, a current inventor on the above-referenced issued patent, understand that the assignee of the patent, International Business Machines Corporation, is filing a petition with the United States Patent and Trademark Office to correct inventorship of the patent to add Martin E. Hopkins as an inventor on the patent. I have no disagreement in regard to the requested change.

May 22, 2006  
Date

James Allan Kahle  
James Allan Kahle

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Patent: 6,970,982

Date of Issue: November 29, 2005

Inventor(s): Altman et al.

Title: METHOD AND SYSTEM FOR MAINTAINING COHERENCY IN A  
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INVALIDATED ENTRY INSTRUCTIONS

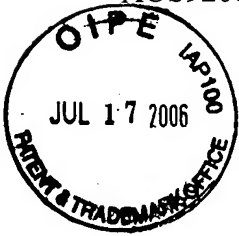
Attn: Supervisory Patent Examiner, Unit 2189  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT OF SUMEDH WASUDEO SATHAYE IN SUPPORT OF PETITION TO  
CORRECT INVENTORSHIP OF A PATENT (37 C. F. R. § 1.324)**

I, Sumedh Wasudeo Sathaye, a current inventor on the above-referenced issued patent, understand that the assignee of the patent, International Business Machines Corporation, is filing a petition with the United States Patent and Trademark Office to correct inventorship of the patent to add Martin E. Hopkins as an inventor on the patent. I have no disagreement in regard to the requested change.

May 16, 2006  
Date

Sumedh Wasudeo Sathaye  
Sumedh Wasudeo Sathaye



## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

Patent: 6,970,982

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Attn: Supervisory Patent Examiner, Unit 2189  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT OF ERIK R. ALTMAN IN SUPPORT OF PETITION TO CORRECT  
INVENTORSHIP OF A PATENT (37 C. F. R. § 1.324)**

I, Erik R. Altman, a current inventor on the above-referenced issued patent, understand that the assignee of the patent, International Business Machines Corporation, is filing a petition with the United States Patent and Trademark Office to correct inventorship of the patent to add Martin E. Hopkins as an inventor on the patent. I have no disagreement in regard to the requested change.

May 16, 2006  
Date

Erik R. Altman  
Erik R. Altman

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Erik R. AltmanApplication No./Patent No.: 6,970,982 Filed/Issue Date: November 29, 2005Entitled: METHOD AND SYSTEM FOR MAINTAINING COHERENCY IN A MULTIPROCESSOR SYSTEM BY BROADCASTING TLB INVALIDATED ENTRY INSTRUCTIONSInternational Business Machines Corporation, a CORPORATION

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011688, Frame 0799, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Lynne D. Anderson  
Signature6-27-06  
DateLynne D. Anderson

Printed or Typed Name

(703) 299-1455

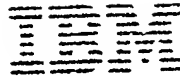
Telephone Number

Program Manager, US PTO Liaison

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.




North Castle Drive  
Armonk, NY 10501

**LETTER OF AUTHORITY**

I, Patrick Toole, Vice President, Intellectual Property and Standards of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney, authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; requests for the registration of patents as available for licensing, reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Lynne D. Anderson, program manager, U.S. Patent and Trademark Office liaison.

Date: March 30, 2006



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Patrick Toole  
Vice President, Intellectual Property & Standards  
International Business Machines Corporation





00-796  
win  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JUNE 26, 2001

PTAS



\*101681921A\*

IBM CORPORATION  
CASIMER K. SALYS  
INTELLECTUAL PROPERTY LAW  
11400 BURNET ROAD, INTERNAL ZIP 4054  
AUSTIN, TX 78758

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/14/2000

REEL/FRAAME: 011688/0799  
NUMBER OF PAGES: 10

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
ALTMAN, ERIK R.

DOC DATE: 12/18/2000

ASSIGNOR:  
CAPEK, PETER G.

DOC DATE: 12/11/2000

ASSIGNOR:  
GSCHWIND, MICHAEL

DOC DATE: 12/11/2000

ASSIGNOR:  
HOFSTEE, HARM PETER

DOC DATE: 12/08/2000

ASSIGNOR:  
KAHLE JAMES A.

DOC DATE: 12/12/2000

ASSIGNOR:  
NAIR, RAVI

DOC DATE: 12/11/2000

ASSIGNOR:  
SATHAYE, SUMEDH W.

DOC DATE: 12/11/2000

DOCKETED  
8/4/07

RECEIVED AUS IPLAW  
2001 JUL -3 AM 9:59

12-14-00  
In place of Form PTO-1595

04-20-2001



101681921

Pat No. AUS920000796US1

SET

EF 40965253505

12/14/2000  
09/736,585

MPD 01  
To the Assistant Commissioner of Patents: Please record the attached original documents and copy thereof.

1. Name of conveying party(ies):

Erik R. Altman  
Peter G. Capek  
Michael Gschwind  
Harm Peter Hofstee

James A. Kahle  
Ravi Nair  
Sumedh W. Sathaye  
John-David Wellman

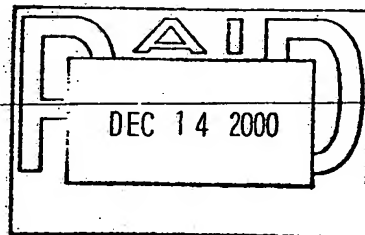
2. Name and address of receiving party(ies):

International Business  
Machines Corporation  
New Orchard Road  
Armonk, New York 10504

3. Nature of conveyance:

☒ Assignment

Execution Date: 12/8/00, 12/12/00, 12/11/00



4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is 12/8/00, 12/12/00 & 12/11/00

A. Patent Application No. (s)

B. Patent No. (s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Casimer K. Salys  
IBM Corporation  
Intellectual Property Law  
Internal Zip 4054  
11400 Burnet Road  
Austin, TX 78758  
(512) 823-0092

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0447

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Casimer K. Salys  
Name of Person Signing

Signature

12/13/00  
Date

Total number of pages including cover sheet: 10

AUS920000796US1

## ASSIGNMENT

Whereas, we,

- (1) Erik R. Altman, of \_\_\_\_\_,  
County of \_\_\_\_\_ and state of \_\_\_\_\_,
- (2) Peter G. Capek, of \_\_\_\_\_,  
County of \_\_\_\_\_ and state of \_\_\_\_\_,
- (3) Michael Gschwind, of \_\_\_\_\_,  
County of \_\_\_\_\_ and state of \_\_\_\_\_,
- (4) Harm P. Hofstee, of Austin,  
County of Travis and state of Texas,
- (5) James A. Kahle, of Austin,  
County of Travis and state of Texas,
- (6) Ravi Nair, of Briarcliff Manor,  
County of Westchester and state of New York,
- (7) Sumedh W. Sathaye, of Mohegan Lake,  
County of \_\_\_\_\_ and state of New York,
- (8) John-David Wellman, of Peekskill,  
County of Westchester and state of New York,

have invented certain improvements in

## SYMMETRIC MULTI-PROCESSING SYSTEM

and executed a United States patent application therefor on *(date Inventor signed Declaration)*

- (1) \_\_\_\_\_, (2) \_\_\_\_\_,
- (3) \_\_\_\_\_, (4) Dec 8 2000,
- (5) Dec 12, 2000, (6) \_\_\_\_\_,
- (7) \_\_\_\_\_, (8) \_\_\_\_\_.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now, therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

\_\_\_\_\_  
Erik R. Altman

(2) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

\_\_\_\_\_  
Peter G. Capek

(3) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

\_\_\_\_\_  
Michael Gschwind

AUS920000796US1

(4) Signed at Austin on Dec 8, 2000.

  
Harm P. Hofstee

(5) Signed at Austin on Dec 12, 2000.

  
James A. Kahle

(6) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

\_\_\_\_\_  
Ravi Nair

(7) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

\_\_\_\_\_  
Sumedh W. Sathaye

(8) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

\_\_\_\_\_  
John-David Wellman

AUS920000796US1

## ASSIGNMENT

Whereas, we,

- (1) Erik R. Altman,  
County of \_\_\_\_\_ of \_\_\_\_\_  
and state of \_\_\_\_\_
- (2) Peter G. Capek,  
County of Westchester of Ossining  
and state of New York
- (3) Michael Gschwind,  
County of Westchester of Yorktown  
and state of New York,
- (4) Harm P. Hofstee,  
County of Travis of Austin,  
and state of Texas,
- (5) James A. Kahle,  
County of Travis of Austin,  
and state of Texas,
- (6) Ravi Nair,  
County of Westchester of Briarcliff Manor,  
and state of New York,
- (7) Sumedh W. Sathaye,  
County of Dutchess of Mohgan Lake, Fishkill  
and state of New York, 12524 SWS
- (8) John-David Wellman,  
County of Westchester of Peekskill,  
and state of New York,

have invented certain improvements in

## SYMMETRIC MULTI-PROCESSING SYSTEM

and executed a United States patent application therefor on (date Inventor signed Declaration)

- |                       |                          |
|-----------------------|--------------------------|
| (1) _____             | (2) <u>Dec 11, 2000</u>  |
| (3) <u>12/11/2000</u> | (4) _____                |
| (5) _____             | (6) <u>Dec. 11, 2000</u> |
| (7) <u>12/11/2000</u> | (8) <u>Dec 11, 2000</u>  |

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(1) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

\_\_\_\_\_  
Erik R. Altman

(2) Signed at Yorktown, NY on Dec 14<sup>th</sup> PEL, 2000.

\_\_\_\_\_  
Peter G. Capek

(3) Signed at Yorktown, NY on 12/11, 2000.

\_\_\_\_\_  
Michael Gschwind

AUS920000796US1

(4) Signed at Austin on \_\_\_\_\_, 2000.

Harm P. Hofstee  
Harm P. Hofstee

(5) Signed at Austin on \_\_\_\_\_, 2000.

James A. Kahle  
James A. Kahle

(6) Signed at Yorktown, NY on 12/11, 2000.

Ravi Nair  
Ravi Nair

(7) Signed at Yorktown, NY on 12/11, 2000.

Sumedh W. Sathaye  
Sumedh W. Sathaye

(8) Signed at Yorktown, NY on 12/11, 2000.

John-David Wellman  
John-David Wellman



AUS920000796US1

## ASSIGNMENT

Whereas, we,

- (1) Erik R. Altman, of Danbury,  
County of Fairfield and state of Connecticut
- (2) Peter G. Capek, of \_\_\_\_\_,  
County of \_\_\_\_\_ and state of \_\_\_\_\_
- (3) Michael Gschwind, of \_\_\_\_\_,  
County of \_\_\_\_\_ and state of \_\_\_\_\_
- (4) Harm P. Hofstee, of Austin,  
County of Travis and state of Texas.
- (5) James A. Kahle, of Austin,  
County of Travis and state of Texas,
- (6) Ravi Nair, of Briarcliff Manor,  
County of Westchester and state of New York,
- (7) Sumedh W. Sathaye, of Mohegan Lake,  
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- (3) \_\_\_\_\_, (4) \_\_\_\_\_,
- (5) \_\_\_\_\_, (6) \_\_\_\_\_,
- (7) \_\_\_\_\_, (8) \_\_\_\_\_

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(1) Signed at <sup>9 RA</sup> Yorktown Heights on 12/8, 2000.

Erik R. Altman  
Erik R. Altman

(2) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

Peter G. Capek  
Peter G. Capek

(3) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

Michael Gschwind  
Michael Gschwind

(4) Signed at Austin on \_\_\_\_\_, 2000.

\_\_\_\_\_  
Harm P. Hofstee

(5) Signed at Austin on \_\_\_\_\_, 2000.

\_\_\_\_\_  
James A. Kahle

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\_\_\_\_\_  
Ravi Nair

(7) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

\_\_\_\_\_  
Sumedh W. Sathaye

(8) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

\_\_\_\_\_  
John-David Wellman